



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Udo Klein  
Serial No. : 10/698,958  
Filed : October 31, 2003  
Title : GATHERING MESSAGE INFORMATION

Art Unit : Unknown  
Examiner : Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

The following correspondence relating to this application is enclosed for filing:

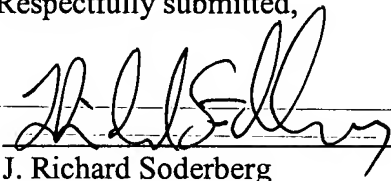
1. Information Disclosure Statement (1 pages);
2. Form PTO-1449 (2 pages);
3. Copies of Cited References; and
4. Two Return Postcards.

Please date stamp and mail the enclosed postcards.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

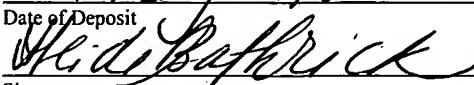
Date: 1/12/04

  
J. Richard Soderberg  
Reg. No. 43,352

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696  
60188361.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 12, 2004  
Date of Deposit  
  
Signature

Heidi Bathrick  
Typed or Printed Name of Person Signing Certificate



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Udo Klein  
Serial No. : 10/698,958  
Filed : October 31, 2003  
Title : GATHERING MESSAGE INFORMATION

Art Unit : Unknown  
Examiner : Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

Applicant has not determined whether the references obtained from the Internet and dated no more than one year ago are prior art against any invention claimed in this application.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

1/12/04

J. Richard Soderberg  
Reg. No. 43,352

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

60188351.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

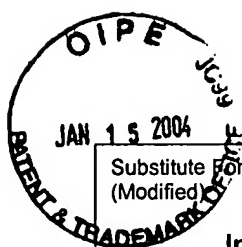
January 12, 2004

Date of Deposit

Signature

Heidi Bathrick

Typed or Printed Name of Person Signing Certificate



Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 16104-013001	Application No. 10/698,958
Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Udo Klein	
		Filing Date October 31, 2003	Group Art Unit

## U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						

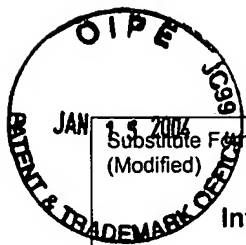
## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AB							

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AC	Cooper, "About Face The Essentials of User Interface Design", <u>Hungry Minds, Inc.</u> , 1995, Pages 423-440.
	AD	<a href="http://www.bullseye.com/webCoverage.html">http://www.bullseye.com/webCoverage.html</a> - "Code Coverage Analysis", document stated to be last modified on October 3, 2002, printed from the Internet on December 31, 2003, 10 pages.
	AE	<a href="http://www.systems-advisers.com/nsgmwfm.htm">http://www.systems-advisers.com/nsgmwfm.htm</a> - "Messages Usage", printed from the Internet on December 31, 2003, 1 page.
	AF	<a href="http://www.ascensionlabs.com/pbca_SQLTraceFileViewer.htm">http://www.ascensionlabs.com/pbca_SQLTraceFileViewer.htm</a> - "SQL Trace File Viewer", document stated to be last modified on July 4, 2003, printed from the Internet on December 31, 2003, 2 pages.
	AG	<a href="http://www.tracetools.com">http://www.tracetools.com</a> - "Performance Trace Tools", document stated to be last modified on October 13, 2002, printed from the Internet on December 31, 2003, 4 pages.
	AH	<a href="http://www.netiq.com/products/log/advanced.asp">http://www.netiq.com/products/log/advanced.asp</a> - "WebTrends Log Analyzer Advanced Edition", printed from the Internet on December 10, 2003, 2 pages.
	AI	<a href="http://www.netiq.com/products/log/default.asp">http://www.netiq.com/products/log/default.asp</a> - "WebTrends Log Analyzer Series", printed from the Internet on December 10, 2003, 2 pages.
	AJ	<a href="http://www.flirble.org/chrisy/vmm386/tech-man/vmm-tech_10.html">http://www.flirble.org/chrisy/vmm386/tech-man/vmm-tech_10.html</a> - "System Log Daemon", printed from the Internet on December 16, 2003, 4 pages.
	AK	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconLoggingNTApplicationServerSecurityEvents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconLoggingNTApplicationServerSecurityEvents.asp</a> - "Logging Application, Server, and Security Events", printed from the Internet on December 16, 2003, 3 pages.
	AL	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconIntroductionToEventLogComponents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconIntroductionToEventLogComponents.asp</a> - "Introduction to the EventLog Component", printed from the Internet on December 16, 2003, 2 pages.
	AM	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconTypesOfEventLogEntries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconTypesOfEventLogEntries.asp</a> - "Types of Event Log Entries", printed from the Internet on December 16, 2003, 1 page.
	AN	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogreferences.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogreferences.asp</a> - "Event Log References", printed from the Internet on December 16, 2003, 2 pages.
	AO	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogprogrammingarchitecture.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconeventlogprogrammingarchitecture.asp</a> - "EventLog Programming Architecture" printed from the Internet on December 16, 2003, 2 pages.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
16104-013001Application No.  
10/698,958Information Disclosure Statement  
by Applicant

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Udo KleinFiling Date  
October 31, 2003

Group Art Unit

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbcreatingdeletingadministeringeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbcreatingdeletingadministeringeventlogs.asp</a> - "Administering Event Logs", printed from the Internet on December 16, 2003, 1 page.
	AQ	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeletingeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeletingeventlogs.asp</a> - "Deleting Event Logs", printed from the Internet on December 16, 2003, 1 page.
	AR	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifspecificeventlogexists.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifspecificeventlogexists.asp</a> - "Determining If Specific Event Logs Exist", printed from the Internet on December 16, 2003, 1 page.
	AS	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingremovingcustomlogseventsources.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingremovingcustomlogseventsources.asp</a> - "Creating and Removing Custom Event Logs", printed from the Internet on December 16, 2003, 2 pages.
	AT	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskclearingeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskclearingeventlogs.asp</a> - "Clearing Event Logs", printed from the Internet on December 16, 2003, 1 page.
	AU	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingeventlogcomponents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskcreatingeventlogcomponents.asp</a> - "Creating EventLog Component Instances", printed from the Internet on December 16, 2003, 2 pages.
	AV	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskconfiguringeventlogcomponents.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskconfiguringeventlogcomponents.asp</a> - "Configuring EventLog Component Instances", printed from the Internet on December 16, 2003, 2 pages.
	AW	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskaddingyourapplicationassourceofeventlogentries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskaddingyourapplicationassourceofeventlogentries.asp</a> - "Adding Your Application as a Source of Event Log Entries", printed from the Internet on December 16, 2003, 1 page.
	AX	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifeventsourceexists.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskdeterminingifeventsourceexists.asp</a> - "Determining If an Event Source Exists", printed from the Internet on December 16, 2003, 1 page.
	AY	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskremovingeventsource.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskremovingeventsource.asp</a> - "Removing an Event Source", printed from the Internet on December 16, 2003, 1 page.
	AZ	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskwritingentries toteventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskwritingentries toteventlogs.asp</a> - "Writing Entries to Event Logs", printed from the Internet on December 16, 2003, 2 pages.
	AAA	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskreadingeventlogentries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskreadingeventlogentries.asp</a> - "Reading Event Log Entries", printed from the Internet on December 16, 2003, 1 page.
	ABB	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskhandlingeventwrittenevent.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskhandlingeventwrittenevent.asp</a> - "Handling the EntryWritten Event", printed from the Internet on December 16, 2003, 2 pages.
	ACC	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskworkingwiththeeventlogsinserverexplorer.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtskworkingwiththeeventlogsinserverexplorer.asp</a> - "Working with Event Logs in Server Explorer", printed from the Internet on December 16, 2003, 1 page.
	ADD	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbtsklaunchingeventviewer.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbtsklaunchingeventviewer.asp</a> - "Launching Event Viewer", printed from the Internet on December 16, 2003, 1 page.
	AEE	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbconsecurityramificationsofeventlogs.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbconsecurityramificationsofeventlogs.asp</a> - "Security Ramifications of Event Logs", printed from the Internet on December 16, 2003, 2 pages.
	AFF	<a href="http://msdn.microsoft.com/library/en-us/vbcon/html/vbwlkwalkthroughexploringeventlogseventsourcesentries.asp">http://msdn.microsoft.com/library/en-us/vbcon/html/vbwlkwalkthroughexploringeventlogseventsourcesentries.asp</a> - "Walkthrough: Exploring Event Logs, Event Sources, and Entries", printed from the Internet on December 16, 2003, 5 pages.

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.